

MEMORANDUM – 2023-001

TO : ALL TRADING PARTICIPANTS

SUBJECT: TRAINING PROGRAMS

DATE : 04 JANUARY 2023

Pursuant to *Securities and Exchange Commission ("SEC") Memorandum Circular No. 3, Series of 2007*, all trading participants are requested to submit <u>not later than 20 January 2023</u> their 2022 and 2023 internal training programs to the Capital Markets Integrity Corporation ("CMIC"), via electronic mail (acd@cmic.com.ph).

The prescribed period for the submission of the internal training programs has been set in order to facilitate the timely submission by CMIC of these training programs to SEC by 30 January 2023, in accordance with the above circular.

Details of the training programs shall include, among others, the following information:

- A. 2022 Internal Training Program
 - 1. Seminar topics (with brief description)
 - 2. Seminar dates
 - 3. Number of participants
 - 4. Resource speaker/s
- B. 2023 Internal Training Program
 - 1. Projected seminar topics (with brief description)
 - 2. Projected seminar dates
 - 3. Target participants
 - 4. Target resource speaker/s

The abovementioned report shall be signed by the trading participant's President or Associated Person.

For your compliance.

(original signed)

DAISY P. ARCE

President

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